

2020 TOBACCO INDUSTRY INTERFERENCE INDEX

# **Background and Introduction**

Smoking remains a major public health issue in Kenya. The Global Youth Tobacco Survey indicated that 12.8% of adolescent boys (13-15 years) and 6.7% girls have used tobacco. Kenya was one of the early signatories of the WHO Framework Convention on Tobacco Control (FCTC), ratifying in 2004 and has shown commitment to implement tobacco control.

The Tobacco Control Act was passed in 2007 and in 2014 the Tobacco Control Regulations was enacted. However the tobacco industry is a problem thwarting government efforts and its interference in Kenya's Tobacco Control Regulations 2014 has been documented.<sup>1</sup> In 2015, British American Tobacco (BAT) challenged the constitutionality of Kenya's 2014 Regulations in the High Court and then the Court of Appeal. Four years later, in November 2019, the Supreme Court ruled to uphold the regulations.<sup>2</sup>

The tobacco industry in Kenya is dominated by just two companies (Figure 1) BAT controlling more than 70% of cigarette market share and second company, Mastermind Tobacco Kenya Ltd. (MTK) which sells brands such as Supermatch, Supermatch Menthol and Rocket. In January 2019, it was reported that MTK was in talks to sell its majority stake to Philip Morris International (PMI).<sup>3</sup> This deal will enable PMI to expand its presence in Africa, which at the moment is only concentrated in North Africa.



### Figure 1

BAT expanded its reach in the country in 2019. It sells its cigarettes through 50,000 retailers. It expanded 4,000 additional retail outlets resulting in a 4% growth in 2019 compared to 2018. In 2019 it launched LYFT, which is an oral nicotine pouch placed under the lip and the nicotine is absorbed through the gum.

<sup>&</sup>lt;sup>1</sup> TobaccoTactics. <u>https://tobaccotactics.org/index.php?title=Kenya-</u>

Timeline: Industry Interference\_with\_the\_Tobacco\_Control\_Regulations\_2014

<sup>&</sup>lt;sup>2</sup> ATCA Press Release. Supreme Court Upholding of Tobacco Control Regulations in Kenya 16 November 2019 <u>https://www.docdroid.net/wSaphW7/atca-statement-kenya-tc-regulations-pdf</u>

<sup>&</sup>lt;sup>3</sup> The Kenyan Wallstreet, Mastermind Tobacco in Talks to Sell Majority Stake to an American Cigarettes Manufacturer, 26 Jan 2019 <u>https://kenyanwallstreet.com/mastermind-tobacco-in-talks-to-sell-majority-stake-to-an-american-cigarettes-manufacturer/</u>

The WHO Framework Convention on Tobacco Control (FCTC) Article 5.3 empowers the government to protect itself from tobacco industry interference. This report reviews on how the government is implementing Article 5.3 guidelines. It uses the questionnaire on Tobacco Industry Interference Index developed by the Southeas Asia Tobacco Control Alliance.<sup>4</sup> This report is based on publicly available evidence. Incomplete information is acknowledged as a limitation. This is the second report for Kenya. Kenya has a total score of 40, which is a deteriroation from last year, highlighting the importance of stepping up efforts to implement Article 5.3. The main findings of the Index are presented below.

## **Summary Findings**

#### 1. INDUSTRY PARTICIPATION IN POLICY DEVELOPMENT

According to the Kenya Tobacco Control Regulations 2014, the Government does not accept, support or endorse any offer for assistance by or in collaboration with the tobacco industry in setting or implementing public health policies in relation to tobacco control. Kenya's delegation to the COP meetings do not include any representatives from the tobacco industry.

### 2. INDUSTRY CSR ACTIVITIES

Government officials do not endorse, accept or participate in tobacco related CSR activities.

#### 3. BENEFITS TO THE INDUSTRY

In 2017 the Treasury Cabinet Secretary proposed legislation that reverts from single tax system (enacted through the Excise Duty Act, 2015) to the tiered system which benefits the tobacco industry. The delay in fully implementing the Tobacco Control Regulations works to benefit the tobacco industry. However, in 2019 Kenya increased excise tax on tobacco by 20 percent. BAT described this tax increase as "excessive and unpredictable" which increases and threatens the sustainability of tobacco businesses.

#### 4. UNNECESSARY INTERACTION

The Tobacco Control Regulations forbids the government from accepting any assistance from the tobacco industry on any enforcement activities or entering into any partnership with it. In 2019, BAT was awarded the Solid Rock Commendation (Lifetime Achievement) for Exporter of the Year Award through a project of Kenya Export Promotion Council (EPC) which is a state agency. The Deputy President of Kenya attended the event along with the CS Ministry of Industry, Trade and Cooperatives, EPC Chief Executive Officer, amongst other government officials.

#### 5. TRANSPARENCY

The Tobacco Control Regulations sets out the procedure for all interactions between the government officials and the tobacco industry. The Regulations requires full disclosure of political contributions from the tobacco industry. A report must be prepared and submitted to the Board: (c) "any lobbying, philanthropy, political contributions and all other activities by the tobacco industry." However, there is no publicly available record of how this is being implemented.

<sup>&</sup>lt;sup>4</sup> Assunta M. Dorotheo E.U. SEATCA Tobacco Industry Interference Index: a tool for measuring implementation of WHO Framework Convention on Tobacco Control Article 5.3; Tob Control http://dx.doi.org/10.1136/tobaccocontrol-2014-051934

#### 6. CONFLICT OF INTEREST

The Tobacco control Act requires that any affiliation to the tobacco industry by a member of the tobacco control board must be declared for purposes of determining any potential conflict of interest

#### 7. PREVENTIVE MEASURES

Overall there has been no progress in implementing preventive measures since 2018. While the Tobacco Control Regulations requires a procedure to be put in place to disclose all records of interactions, however the implementing procedure is not ready. The Cabinet Secretary has to prescribe a code of conduct for public officer including service providers, contractors, consultants involved in setting or implementing public health policies for tobacco control, however this has not been developed yet.

As of 2019 there is no progress in establishing a repository of reports from the tobacco industry that can be readily available for access to the public.

# Kenya: 2020 Tobacco Industry Interference Index

## **Results and Findings**

	0	1	2	3	4	5					
INDICATOR 1: Level of Industry Participation in Policy-Developm	ent										
<ol> <li>The government<sup>5</sup> accepts, supports or endorses any offer for assistance by or in collaboration with the tobacco industry<sup>6</sup> in setting or implementing public health policies in relation to tobacco control<sup>7</sup> (Rec 3.1)</li> </ol>	0										
According to the Kenya Tobacco Control Regulations 2014, the Governm support or endorse any offer for assistance by or in collaboration with the setting or implementing public health policies in relation to tobacco contro BAT's 2019 annual report also states: "In 2019, we continued engaging with departments in pursuing a balanced and predictable regulatory and fiscal business." <sup>9</sup> But there is no record to substantiate this from the government	e tol ol. <sup>8</sup> var envi	oaco iou	co in s go	ndu veri	stry nme	in ent					
2. The government accepts, supports or endorses <u>policies or legislation</u> <u>drafted</u> by or in collaboration with the tobacco industry. (Rec 3.4)	0										
In accordance with the Tobacco Control Regulations, the government does or endorse any policy or legislation drafted by or in collaboration with the			-			ort					
3. The government allows/invites the tobacco industry to sit in government interagency/ multi-sectoral committee/ advisory group body that sets public health policy. (Rec 4.8)		1									
Interaction allowed with conditions / restrictions											
Tobacco Control Regulations 30 (g) restrict involvement of tobacco industry in the development of tobacco control policies and laws except in public forums where the industry is presenting its views to the public authority on relevant tobacco control laws and polices; (h) not allow any person employed by the tobacco industry or any entity working to further its interests to be a member of any government body, committee or advisory group that sets or implements tobacco control or public health policy.											
4. The government nominates or allows representatives from the tobacco industry (including State-owned) in the delegation to the		1									

<sup>&</sup>lt;sup>5</sup> The term "government" refers to any public official whether or not acting within the scope of authority as long as cloaked with such authority or holding out to another as having such authority

<sup>&</sup>lt;sup>6</sup> The term, "tobacco industry' includes those representing its interests or working to further its interests, including the State-owned tobacco industry.

<sup>&</sup>lt;sup>7</sup> "Offer of assistance" may include draft legislation, technical input, recommendations, oversees study tour <sup>8</sup> Kenya Tobacco Control Act 2014: <u>http://kenyalaw.org/kl/fileadmin/pdfdownloads/LegalNotices/169-</u>

Tobacco\_Control\_Regulations\_2014

<sup>&</sup>lt;sup>9</sup> BAT Annual report pg 52

http://www.batkenya.com/group/sites/BAT\_B4ALXZ.nsf/vwPagesWebLive/DOBQ6B6A/\$FILE/BAT\_Kenya\_Annual\_Report\_2019.pdf?openelement

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COP or other subsidiary bodies or accepts their sponsorship for delegates. (i.e. COP 4 & 5, INB 4 5, WG) <sup>10</sup> (Rec 4.9 & 8.3)					
Tobacco Control Regulations – No.29 A person working for the tobacco is setting working to further the interests of the tobacco industry shall not se delegations to meetings of the Conference of Parties, its subsidiary bodies established pursuant to decisions of the Conference of the Parties.	rve	on	Ker	iyan	lies
INDICATOR 2: Industry CSR activities					
<ul> <li>5. A. The government agencies or its officials endorses, supports, forms partnerships with or participates in so-called CSR activities organized by the tobacco industry. (Rec 6.2)</li> <li>B. The government (its agencies and officials) receives contributions<sup>11</sup> (monetary or otherwise) from the tobacco industry (including so-called CSR contributions). (Rec 6.4)</li> </ul>					Ę
The Tobacco Control Regulations in 30(f) does not allow public officials t form partnerships with or participate in activities of the tobacco industry c corporate social responsibility;					ort,
BAT made a donation of Kshs.10.6m (US\$100,000) to the COVID-19 En Fund, a fund that was established by the President of Kenya H.E. Uhuru I					se
We are yet to establish which other donations BAT has made directly to consider a gencies including schools and hospitals but we can report the through their Governor H.E. Zachary Obado received some donation tow COVID-19 from BAT. <sup>14 15</sup>	at N	figo	ri C	ounty	
One of the BAT friendly Counties where BAT derives most of the tobacce BAT has continued to hold poor farmers hostage through so called CSR a County. Our findings while developing this report reveal that the Governor who is currently facing corruption charges in handling County Government in a rented BAT house <sup>16</sup> before he moved into a palatial home built by Co funds. DISCLAIMER: We have not been able to obtain documents on the payments between the County Government of Migori and BAT reasons as BAT house that the Governor had to stay in.	ctiv or of nt fu unty e ag	ities f Mi inds y Go reen	gor gor s us over	Migor i Cou ed to rnmer ts and	i nty live nt l
INDICATOR 3: Benefits to the Tobacco Industry					
6. The government accommodates requests from the tobacco industry		1			

<sup>&</sup>lt;sup>10</sup> Please annex a list since 2009 so that the respondent can quantify the frequency, <u>http://www.who.int/fctc/cop/en/</u>

<sup>&</sup>lt;sup>11</sup> political, social financial, educations, community, technical expertise or training to counter smuggling or any other forms of contributions

<sup>&</sup>lt;sup>12</sup> https://www.pd.co.ke/news/national/kenya-covid-19-emergency-fund-receives-sh1-29-billion-33750/

<sup>&</sup>lt;sup>13</sup> https://www.the-star.co.ke/news/2020-04-21-list-of-organisations-with-biggest-donations-to-covid-19-fund/

<sup>&</sup>lt;sup>14</sup> https://www.migorinews.co.ke/news/county-news/lake-victoria-south-and-bat-donates-to-migori-covid-19-mobilisation-kitty/

<sup>&</sup>lt;sup>15</sup> https://twitter.com/GovernorObado/status/1265593933079478273

<sup>&</sup>lt;sup>16</sup> https://www.nation.co.ke/kenya/news/fit-for-a-king-the-splendour-of-homes-built-by-county-bosses-100590

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tobacco control law. (e.g. 180 days is common for PHW, Tax increase can be implemented within 1 month) (Rec 7.1)					
The Tobacco Control Regulations though enacted in 2014 in Article 1, wa be implemented. However it was only until 26 <sup>th</sup> November 2019 that the given a go ahead to fully implement the provisions of the Tobacco Contro following a protracted court battle. To date therefore, the provisions of the Regulations 2014 have not been fully implemented due to tobacco industr	Gov ol Re ne To	ern egul obae	ment w ations cco Co	vas 2010 ntro	)
While there is no publicly available evidence of the tobacco industry askin the implementation of the TC Regulations has been delayed. In 2015, the contested in Court by British American Tobacco (BAT). On 17 February Appeal Kenya <sup>18</sup> at Nairobi upheld the High Court of Kenya's dismissal of challenge. BAT moved to the Supreme Court seeking to overturn the ruli Court and the Court of Appeal and it was only until 26 <sup>th</sup> November 2019 postponements that the judgement was delivered <sup>19</sup> BAT holds 71.5% of t share in Kenya. <sup>20</sup> The industry's continuous appeals on the case through t clear delay tactic, hence postponing implementation of the Regulations.	regu 2017 BA' ng o afte he ci	ilati 7, th T's f bo er nu igar	ons we ne Cour legal oth the umerou ette ma	rt of Hig 15 arket	h
There are a number of pending bills mainly at the county level such as the County Tobacco Control Bill 2018 and the Baringo County Tobacco Conto there. Tobacco Industry tries to defer enactment and implementation the lobbying and court action but we have not come across a case where the sextended implementation period during this report's review period.	trol roug	Bill h ta	among ctics su	uch a	as
7. The government gives privileges, incentives, exemptions or benefits to the tobacco industry (Rec 7.3)			3		
The Government listed tobacco products as part of the essential products Beverages during the COVID-19 pandemic <sup>21</sup> . When listed as an essential COVID-19 pandemic, then the workers and providers of logistics of thos provided protection and special permits and transport during lockdown. The products and services was supposed to be only the products and services to the sustenance and lives and hence the need to guarantee their provision this time of COVID-19 pandemic.	prod e sec The l whic	luct ctor ist o ch a	during s are of essen re essen	g the ntial ntial	
The Tobacco Control Regulations (TCR) 2014 states in 32- A public auth incentives, privileges, benefits or any other preferential treatment to the to	obac	co i	ndustr	y to	t

incentives, privileges, benefits or any other preferential treatment to the tobacco industry to establish or run their businesses. Kenya increased excise tax on tobacco by 20% in 2019.<sup>22</sup> BAT described this tax increase in its annual report as "excessive and unpredictable" which increases and threatens the sustainability of tobacco businesses.

<sup>&</sup>lt;sup>17</sup> TobaccoTactics. Kenya – Country Profile <u>https://www.tobaccotactics.org/index.php?title=Kenya-Country\_Profile</u>

<sup>&</sup>lt;sup>18</sup> https://www.tobaccocontrollaws.org/litigation/decisions/ke-20170217-british-american-tobacco-ltd-v

<sup>&</sup>lt;sup>19</sup> http://kenyalaw.org/caselaw/cases/view/185959/

<sup>&</sup>lt;sup>20</sup> <u>https://citizentv.co.ke/business/bat-kenya-losing-market-share-blames-counterfeit-cigarettes-244951/</u>

<sup>&</sup>lt;sup>21</sup> https://www.the-star.co.ke/news/2020-04-08-kenya-lists-alcohol-tobacco-among-essential-products/ <sup>22</sup> BAT Annual Report. Pg 8

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<b>INDICATOR 4:</b> Forms of Unnecessary Interaction						
8. Top level government officials (such as President/ Prime Minister or						
Minister <sup>23</sup> ) meet with/ foster relations with the tobacco companies						
such as attending social functions and other events sponsored or						5
organized by the tobacco companies or those furthering its interests.						
(Rec 2.1)						

In 2018, Cabinet Secretary for Youth and Gender Prof. Margaret Kobia attends an event to award BAT Kenya Best Employer of the Year Award. The event is also sponsored by BAT.<sup>24 25</sup>

Deputy President of The Republic of Kenya Dr. William Ruto graced the Gala Dinner for the award of winners of the Kenya Exporter of the Year Awards 2019 at which British American Tobacco (BAT) Kenya Limited was awarded the Solid Rock Commendation (Lifetime Achievement) award<sup>26</sup>. Alongside the Deputy President Dr. William Ruto, the CS Ministry of Industry, Trade and Cooperatives, Hon. Peter Munya, EPC Chief Executive Officer, Mr. Peter Kibet Biwott were also in attendance amongst other government officials.<sup>27</sup> The Kenya Exporter of the Year Award is a project of Kenya Export Promotion Council (EPC) which is a state agency.

The Chief Administrative Secretary Ministry of Petroleum and Mining Eng John Mosonik represented Government at the 2019 Kenya Manufacturers Association (KAM) Energy Management Awards event at which BAT Kenya was the Best Company in Electricity Savings, Water Savings & Sustained High Performance Award and recognised as the second overall winner.<sup>28</sup>

The Tobacco Control Regulations 22. (1) Any interactions between public authorities or public officers and the tobacco industry shall be limited to the extent strictly necessary for effective tobacco control and enforcement of relevant laws.

9.	The government accepts assistance/ offers of assistance from the tobacco industry on enforcement such as conducting raids on tobacco smuggling or enforcing smoke free policies or no sales to minors. (including monetary contribution for these activities) (Rec	0			
	4.3) minors. (including monetary contribution for these activities) (Rec				

The Tobacco Control Regulations forbids the government from accepting any assistance from the tobacco industry on any enforcement activities.

BAT's website mentions, "As a key stakeholder in the tobacco industry, we fully support local governments, regulators and government agencies including Kenya's Inter-Agency Anti-Illicit Working Group and the Anti-Counterfeit Agency, regional bodies including the EAC and COMESA as well as international organisations such as the World Trade Organization and World Health Organization, in seeking to eliminate all forms of illicit tobacco trade."<sup>29</sup>

<sup>25</sup> Standard Digital BAT Kenya recognised as top employer twice in a row; 5 Oct 2018

<sup>&</sup>lt;sup>23</sup> Includes immediate members of the families of the high-level officials

<sup>&</sup>lt;sup>24</sup> Business Daily. BAT feted as Kenya's best employer; 25 Sep 2018 <u>https://ntv.nation.co.ke/news/2720124-</u> <u>4772280-yin6xq/index.html https://www.youtube.com/watch?v=n4s68ifpSzc</u>

https://www.standardmedia.co.ke/article/2001298106/bat-kenya-recognised-as-a-top-employer

<sup>&</sup>lt;sup>26</sup> https://www.capitalfm.co.ke/business/2019/07/best-performing-exporters-recognized-at-epc-awards/

<sup>&</sup>lt;sup>27</sup> https://www.netfund.go.ke/netfund-awards-exemplary-local-export-businesses-by-mapesa-okumu/

<sup>&</sup>lt;sup>28</sup> https://kam.co.ke/kenya-breweries-is-the-most-energy-efficient-industry-in-2019/

<sup>&</sup>lt;sup>29</sup> http://www.batkenya.com/group/sites/BAT\_B4ALXZ.nsf/vwPagesWebLive/DO9T5KJU

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However there is no specific information on what kind of support BAT is	off	erin	g th	e loc	cal	
governments, regulators and all the other agencies listed therein.						
10. The government accepts, supports, endorses, or enters into						
partnerships or agreements with the tobacco industry. (Rec 3.1)	•					
NOTE: This must not involve CSR, enforcement activity, or tobacco control	0					
policy development since these are already covered in the previous questions.						
The Tobacco Control Regulations forbids the government from entering i	nto	any	par	tner	shi	р
or agreements with the tobacco industry.		•	•			-
INDICATOR 5: Transparency						
11. The government does not publicly disclose meetings/ interactions						
with the tobacco industry in cases where such interactions are strictly		1				
necessary for regulation. (Rec 2.2)						
TC Regulations in 22 sets out the procedure for all interaction between the	e go	veri	nme	ent		
officials and the tobacco industry:	U					
(1) Any interactions between public authorities or public officers and the t	oba	cco	ind	ustrv	7	
shall be limited to the extent strictly necessary for effective tobacco control				•		-
of relevant laws. (2) A minimum of two public officers shall be present in	any	inte	eract	tions		
with the tobacco industry.						
(3) Before commencing any interaction with the tobacco industry, the pub	lic c	offic	ers	shall		
state, in writing, that the interaction does not imply an endorsement of tob						
practices and that that no relationship, collaboration or partnership shall b	e co	nst	ruec	1		
whatsoever from the interaction.						
12. The government requires rules for the disclosure or registration of						
tobacco industry entities, affiliated organizations, and individuals		1				
acting on their behalf including lobbyists (Rec 5.3)						
The Tobacco Control Regulations 2014, in Part III; 139e) requires the tob						•
to, at the end of every calendar year, to submit a report to the Cabinet Sec.						
including, (e) affiliated organizations and its agents or persons acting on its	s bel	halt	.º F	lowe	eve	r
this has not been implemented.						
INDICATOR 6: Conflict of Interest						
13. The government does not prohibit contributions from the tobacco						
industry or any entity working to further its interests to political		1				
parties, candidates, or campaigns or to require full disclosure of such contributions. (Rec 4.11)						

<sup>&</sup>lt;sup>30</sup> The Tobacco Control Act 2014 <u>http://kenyalaw.org/kl/fileadmin/pdfdownloads/LegalNotices/169-Tobacco\_Control\_Regulations\_\_2014</u>

TCR 2014 Section 34 states that "Every public authority involved in tobacco control shall at the end of every calendar year, prepare and submit an annual report on the implementation of this Part to the Board 2) A report prepared and submitted pursuant to paragraph (1)

shall specify-

(a) the measures undertaken during that period to implement

this part;

(b) the achievements and shortcomings relating to implementation of this part and actions proposed to

improve performance in furtherance of this part;

(c) any lobbying, philanthropy, political contributions and all other activities by the tobacco industry;

(d) any business and non-business partners and supporters of tobacco industry involved with the public authority"

However this has not been implemented.

14. Retired senior government officials form part of the tobacco industry <b>2</b>	
(former Prime Minister, Minister, Attorney General) (Rec 4.4)	

Martin Oduor Otieno, Board Member BAT (K)<sup>31</sup> was a former Permanent Secretary Ministry of Finance 1999 – 2001,<sup>32</sup> served as part of the Dream Team appointed by President Moi to turn around the Kenyan Economy. Martin also served as the Chief Executive Officer of the Kenya Commercial Bank (KCB) between 2007 and 2012<sup>33</sup>. KCB is a fully Government owned bank.<sup>34</sup>

15. <u>Current government officials</u> and relatives hold positions in the tobacco business including consultancy positions. (Rec 4.5, 4.8, 4.10)

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Ruth Ngobi has since been replaced as the BAT (K) Company Secretary by Ms. Waeni Ngea. Ms. Ngea was already working for BAT (K) at hence it's an internal promotion.

Carol Musyoka<sup>35</sup> is a member of the Board of Directors of BAT(K)<sup>36</sup> while also the Chairperson of the Board of Directors of Business Registration Services (BRS)<sup>37</sup> which is a department under the Office of the Attorney General whose mandate is to oversee the operations of the Companies Registry, Movable Property Security Rights Registry (Collateral Registry), Insolvency

<sup>&</sup>lt;sup>31</sup> Board of BAT <u>https://en.wikipedia.org/wiki/Martin\_Oduor-Otieno</u>

<sup>&</sup>lt;sup>32</sup> Permanent Secretary Ministry of Finance

https://nairobinews.nation.co.ke/featured/why-every-bank-wants-a-piece-of-oduor https://www.nation.co.ke/lifestyle/1190-344908-ffcembz/index.html

<sup>&</sup>lt;sup>33</sup> https://en.wikipedia.org/wiki/Martin\_Oduor-Otieno

<sup>&</sup>lt;sup>34</sup> https://en.wikipedia.org/wiki/KCB\_Group#Overview

<sup>&</sup>lt;sup>35</sup> https://biography.omicsonline.org/kenya/east-african-breweries-ltd/carol-musyoka-592227

<sup>&</sup>lt;sup>36</sup> http://www.batkenya.com/group/sites/BAT\_B4ALXZ.nsf/vwPagesWebLive/DOB4AM86

<sup>&</sup>lt;sup>37</sup> https://brs.go.ke/board.php

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(Official Receivers) Registry and the Hire Purchase Registry. Carol Musyoka Board Member of Competition Authority of Kenya (CAK) <sup>38</sup> during the period					
The Tobacco Control Act, No.26 A public officer who has or has had a role health policies with respect to tobacco control (b) shall not engage in such occ within a period of three years from the time the persons ceases being a public	upa	tion	ala	-	
INDICATOR 7: Preventive Measures					
16. The government has put in place a procedure for disclosing the records of the interaction (such as agenda, attendees, minutes and outcome) with the tobacco industry and its representatives. (Rec 5.1)				4	
Tobacco Control Regulations (TCR) 2014 in Section 22(4) states that "Any puparticipating in any interaction with tobacco industry shall prepare a formal reinteraction and submit to the relevant public authorities including the Cabinet	core	d of	the		
on request,"					
However, there is no implementing procedure in place to disclose all records envisaged by TCR 2014.	of ir	nter	actio	ons	as
17. The government has formulated, adopted or implemented a code of conduct for public officials, prescribing the standards with which they should comply in their dealings with the tobacco industry. (Rec 4.2)				4	
Part V No.24 provides: The Cabinet Secretary shall prescribe a code of conduct prescribing standards officer including service providers, contractors consultants involved in setting implementing public health policies for tobacco control.		any	pu	blic	
The Code of Conduct is yet to be developed.					
18. The government requires the tobacco industry to periodically submit information on tobacco production, manufacture, market share, marketing expenditures, revenues and any other activity, including lobbying, philanthropy, political contributions and all other activities. (5.2)				4	
Part III of TCR 2014 specifies the information to be provided by the manufaction includes information on composition and quality of tobacco products, market revenue earned and information on affiliate organisations and agents. However, on marketing expenditure, lobbying, philanthropy, political contributions are information needed to be provided for by manufacturers,	sha er, ir	ire, : ifor	sale mat	s an tion	
According to the 2016 report submitted by the government to the COP, "The Health would have an inventory of the reports from Tobacco Industry and the					

Health would have an inventory of the reports from Tobacco Industry and this would be

<sup>&</sup>lt;sup>38</sup> https://www.cak.go.ke/sites/default/files/annual-reports/FY%202017-2018%20CAK%20Annual%20Report.pdf

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accessible to the public upon request. Maybe in the recommendations we could in future establish a repository that can be readily available for access to the public."<sup>39</sup>

As of 2019 there is no progress on this inventory and the government's plan has not made any progress.

 The government has a program / system/ plan to consistently<sup>40</sup> raise awareness within its departments on policies relating to FCTC Article 5.3 Guidelines. (Rec 1.1, 1.2)

The TC Regulations requires public authority in 30(b) adopt mechanisms to raise awareness within its mandate about the interference and vested interests of tobacco industry with the development and enforcement of tobacco control legislations and policies;

There is no record of the existence of a mechanism to raise awareness according to the TC Regulations.

20. The government has put in place a policy to disallow the acceptance of all forms of contributions/ gifts from the tobacco industry			
(monetary or otherwise) including offers of assistance, policy drafts,	1		
or study visit invitations given or offered to the government, its			
agencies, officials and their relatives. (3.4)			

TCR 31. (1) Any entity which is part of the tobacco industry shall not grant any monetary and non-monetary payments, gifts and services to public authorities or a public officer. (2) A public authority or officer shall not receive any monetary or non-monetary payment, gift or favour from tobacco industry. (3) A public authority or officer who contravenes this Regulation commits an offence. There is no publicly available information on weather this provision was contravened.

TOTAL

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<sup>&</sup>lt;sup>39</sup> Kenya 2016 report the COP <u>https://untobaccocontrol.org/impldb/wp-content/uploads/reports/kenya\_2016\_report\_final.pdf</u>

<sup>&</sup>lt;sup>40</sup> For purposes of this question, "consistently" means: a. Each time the FCTC is discussed, 5.3 is explained. AND b. Whenever the opportunity arises such when the tobacco industry intervention is discovered or reported.